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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 16**Complete if Known**

Application Number	10/016,897
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2137
Examiner Name	Teslovich, T.
Attorney Docket Number	AESN3005

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5931947	08.03.1999	Burns et al.	
		US- 5918074	06.29.1999	Wright et al.	
		US- 5872783	02.16.1999	Chin	
		US- 5905725	05.18.1999	Sindhu et al.	
		US- 6260155	07.10.2001	Dellacona	
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		US- 6266.705	07.24.2001	Ullum et al	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

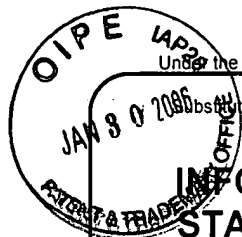
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Sheet 2 of 16**U. S. PATENT DOCUMENTS**

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		US- 5453979	09.26.1995	Schibler et al.	
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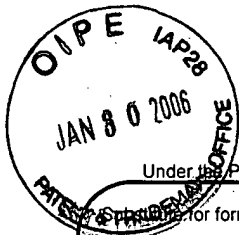
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PTO/SB/08B (08-03)

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Sheet	3	of	16	Attorney Docket Number	AESN3005

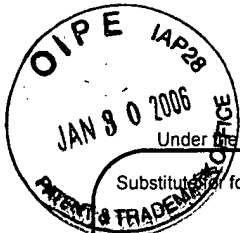
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		IBM, IBM Network Processor (IBM32NPR161EPXCAC133) Product Overview, Published 10/04/1999	
		BROADCOM, BCM5820 E-Commerce Product Brief, 5820-PB00-R-3.26.01, Published 2001	
		BROADCOM, BCM5840 Gigabit Security Processor Product Brief, 5840-PB00-R-12.6.00, Published 2000	
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		IBM, Packet Routing Switch PRS28G Product Sheet, Undated.	
		IBM, Packet Routing Switch PRS28G, Version 1.7 Datasheet, prs28.04.fm, February 6, 2001	
		IBM, IBM PowerNP™ NP4GS3 Network Processor Preliminary Datasheet, May 18, 2001	
		IBM, Product Overview, IBM PowerNP NP4GS3, Network Processor Solutions, April 16, 2001	

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Application Number 10/016,897

Filing Date 12/3/2001

First Named Inventor Pham, Duc

Art Unit 2137

Examiner Name Teslovich, T.

Attorney Docket Number AESN3005

Sheet

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of

16

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		ALAN RADDING, Storage ROI: Truth & Fiction, Infoworld Custom Media Group, 2001	
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		IETF, IP Security Working Group, Security Properties of the IPsec Protocol Suite, Document draft-krywaniuk-ipsec-properties-00.txt, July 9, 2001	
		IETF, iSCSI, Document draft-ietf-ips-iscsi-08.txt, 30-Sep-01	

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Sheet 5 of 16

Application Number	10/016,897
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First Named Inventor	Pham, Duc
Art Unit	2137
Examiner Name	Teslovich, T.
Attorney Docket Number	AESN3005

U. S. PATENT DOCUMENTS

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Sheet	6	of	16
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Application Number	10/016,897
Filing Date	12/3/2001
First Named Inventor	Pham, Duc et al
Art Unit	2137
Examiner Name	Teslovich, T.
Attorney Docket Number	AESN3006

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Application Number	10/016,897
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Attorney Docket Number	AESN3006

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Examiner Name	Teslovich, T.
Attorney Docket Number	AESN3005

Sheet 8 of 16**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,822,531	10-13-1998	Gorczyca et al.	
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Examiner Name Teslovich, T.

Attorney Docket Number AESN3005

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		YOSHIDA et al., Virtualization — The Promise and the Reality, Sun Microsystems White Paper, 2001	
		Real-Time Mechanism for Accounting and Network Access Control, IBM Technical Disclosure Bulletin, April 1994, US, Vol 37, Issue 4A, pg 337-340, April 1, 1994	

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FOREIGN PATENT DOCUMENTS

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 9900958	01-1999	LEVERIDGE et al		
		JP 08272667	10-1996	NAKAMURA, Y.		

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Sheet <u>11</u> of <u>16</u>	Application Number	10/016,897
	Filing Date	12/3/2001
	First Named Inventor	Pham, Duc
	Art Unit	2137
	Examiner Name	Teslovich, T.
	Attorney Docket Number	AESN3005

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		BERTINO et al., Advanced Transaction Processing in Multilevel Secure File Stores, 1998 IEEE	
		CATTANEO et al., The Design and Implementation of Transparent Cryptographic Filesystems for UNIX, June 2001	
		LUDWIG et al., File System encryption with Integrated User Management, October 2001 ACM	
		O'CONNELL et al., JFS: A Secure Distributed File System for Network Computers, 1999	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

16

Application Number	10/016 897
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10/016.897

Filing Date	12/3/2001
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12/3/2001

First Named Inventor	Pham Duc
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Pham, Duc

Art Unit	2137
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2137

Examiner Name	Teslovich, T.
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Teslovich, T.

Attorney Docket Number	AESN3005
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AESN3005

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STATEMENT BY APPLICANT**

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Application Number	10/016,897
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2137
Examiner Name	Teslovich, T.
Attorney Docket Number	AESN3005

Sheet 13 of 16**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,202,157	03-13-2001	Brownlie et al.	
		US- 6,219,790	04-17-2001	Lloyd et al.	
		US- 6,246,771	06-12-2001	Stanton et al.	
		US- 6,253,321	06-26-2001	Nikander et al.	
		US- 6,282,652	08-28-2001	Scheifler	
		US- 6,158,011	12-05-2000	Chen et al.	
		US- 6,286,104	09-04-2001	Buhle et al.	
		US- 6,304,973	10-16-2001	Williams	
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		US- 6,499,110	12-24-2002	Moses et al.	
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		US- 6,571,287	05-27-2003	Knight et al.	
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		US- 6,502,192	12-31-2002	Nguyen	
		US- 6,505,300	01-07-2003	Chan et al.	
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		US- 6,539,483	03-25-2003	Harrison et al.	
		US-			

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 02/103498	12-27-2002	Gladstone		
		WO 01/37095	05-25-2001	Hollander		

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Attorney Docket Number	AESN3005

Sheet 14 of 16**U. S. PATENT DOCUMENTS**

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		Number-Kind Code ² (if known)			
		US- 5,321,841	06-14-1994	East et al.	
		US- 5,440,635	08-08-1995	Bellovin et al.	
		US- 5,349,642	09-20-1994	Kingdon	
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		US- 5,701,343	12-23-1997	Takashima et al.	
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		US- 5,649,099	07-15-1997	Theimer et al.	
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		US- 5,784,463	07-21-1998	Chen et al.	

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Attorney Docket Number	AESN3005

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		US- 6,052,785	04-18-2000	Lin et al.	
		US- 5,941,947	08-24-1999	Brown et al.	
		US- 5,825,890	10-20-1998	Elgamal et al.	
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		US- 2002/1094495	12-19-2002	Gladstone et al.	
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Sheet 16 of 16**Complete if Known**

Application Number	10/016,897
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2137
Examiner Name	Teslovich, T.
Attorney Docket Number	AESN3005

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		Number-Kind Code ² (if known)			
		US- 5235641	08.10.1993	Nozawa et al.	
		US- 5065429	11.12.1991	Lang	
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		US- 5720034	02.17.1998	Case	
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		WO 02/093389	11.21.2002	Avida		
		WO 02/093314	11.21.2002	Avida		

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